

# SPFL Securities Limited

Corporate Off.: 15/63-L, Civil Lines, Kanpur - 208001

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## PLEDGE REQUEST FORM (PRF)

Setup of Pledge  Confirmation of Pledge

Annexure 8.1

Please fill all the details in **Block Letters** in English

PRF No.		Date	D	D	M	M	Y	Y	Y	Y
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I/We request you to **create the pledge / confirm the creation of pledge** for the following securities. I/We have read and understood the Depositories Act, SEBI Regulations and the Bye Laws in relation to pledge of securities and I/We agree to abide by and be bound by the Act, Regulations and the Bye Laws as are in force from time to time for such pledges.

### Pledgor's Details

DP ID		Client ID	
Pledgor's Name	1. 2. 3.		

### Pledgee's Details

DP ID		Client ID	
Pledgee's Name	1. 2. 3.		

**Details of Securities:**  Free Securities  Locked in Securities

### Details of Securities offered for Pledge.

Sr. no	ISIN	Company Name	Quantity	Date of Release (lock-in)	PSN (System Generated)	Accepted / Rejected by Pledgee	Pledged value

Attach an annexure duly signed by the account holder(s), if the space above is insufficient.

<b>Pledge Execution Date</b>	D	D	M	M	Y	Y	Y	Y
Pledge Expiry Date	D	D	M	M	Y	Y	Y	Y
Total Pledge Value (Rs.)								
Agreement No.								
Date of Pledging	D	D	M	M	Y	Y	Y	Y
<b>Pledge Reason [tick mark whichever is applicable]</b>	<b>Collateral-Debt Issuance by Co./Grp Co.</b>	<b>Collateral for loan by Company/Group Co</b>	<b>Collateral for loan by the Third Party</b>	<b>Margin Pledge/MTF for Exchange Trade</b>	<b>Personal use by promoters and PACs</b>			
	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>			

### PAN of the Ultimate Lender :

*Note : As a pledgor and pledgee, We are aware that the pledge recorded in the system only prohibits the Pledgor from dealing with securities until redemption/maturity/expiry date of the securities pledged and that the records of pledged securities may be removed from the system as a result of redemption/maturity/expiry of the securities.*

### To be filled and signed in case of Set-up of Pledge by Pledgor BO

#### Signature of the Pledgor

First/ Sole Applicant	Second Applicant	Third Applicant

### To be filled in case of acceptance of Pledge by Pledgee BO

#### Signature of Pledgee(s) \*

First/ Sole Applicant	Second Applicant	Third Applicant

\* If the Pledgee is a bank DP, the signature of the pledgee need not be taken on the Pledge request form.

Depository Participant Seal and Signature